

ORGANIZATIONAL PROFILE

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The purpose of this section is to supply context regarding the organizational profile of the University and to acknowledge those who assisted in the preparation of this report.

DEFINITIONS

Sustainability is defined as meeting the needs of today without destroying the ability to meet tomorrow's needs. Sustainability reporting is the practice of measuring and publishing environmental, economic, and societal impacts to help organizations achieve long-term sustainability.

METHODOLOGY

The University of Massachusetts Dartmouth (UMass Dartmouth) Sustainability Report 2012 was created using the Global Reporting Initiative (GRI) G3.1 standard for sustainability reporting. GRI standards are the predominant standards for sustainability disclosure by businesses and non-profits, used by a majority of the Global Fortune 250 and thousands of organizations around the world. To review the standards and to learn more about GRI please visit: www.globalreporting.org.

The Sustainability Tracking, Assessment & Rating System™ (STARS) is “a transparent, self-reporting framework for colleges and universities to measure their sustainability performance.” STARS® was developed by AASHE (the Association for the Advancement of Sustainability in Higher Education) with participation from the higher education community. More information on STARS can be found at: <https://stars.aashe.org>.

The Cross Reference Table lists the contents and contains an index to allow for quick reference to these two reporting standards. By reporting on more than 62 indicators, the report has the distinction of being a self-declared A-level report by GRI standards. In 2010, UMass Dartmouth became the first university in the world to have a sustainability report that achieved the highest level of compliance with the world's preeminent standard.

This year, an independent third party - a professionally qualified accountant - confirmed that the sources of the data that is cited in the report. As a result, **this report qualifies as the first-in-the-world self-declared A+ report by GRI standards by a university.**

ACKNOWLEDGEMENTS

The authors of this report would like to thank all those who cooperated with us at UMass Dartmouth, especially Tom Paine of the Office of Campus and Community Sustainability (www.umassd.edu/sustainability) for contributing information related to environmental impacts. Adam J. Sulkowski, Associate Professor of Business Law and Sustainable Development, set the goal of producing the report, coordinated and assisted student research, formatted the publication, and had a role in editing the final report.

The report was researched and authored by students for academic credit in the MBA course, MGT 600: Business Law and Corporate Responsibility at the Charlton College of Business at UMass Dartmouth, and does not necessarily express the official positions of UMass Dartmouth leadership or the University of Massachusetts. Please direct all questions and concerns to NetImpactUMD@gmail.com or asulkowski@umassd.edu.

AUTHORSHIP

MBA students in the Charlton College of Business course, MGT 600, Business Law and Corporate Responsibility, voluntarily undertook the project, as well as determined its scope, the materiality of information, and found the information online and with the cooperation of UMass Dartmouth offices and employees. The report was completed in the fall semester of 2012. Someone else affiliated with the University may have authored portions of data, graphics and language. Sources and specific reference information is available upon request by emailing either NetImpactUMD@gmail.com or asulkowski@umassd.edu.

THE AUTHORSHIP WAS DIVIDED AS FOLLOWS:

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1.1-1.2 STRATEGY, ANALYSIS, KEY IMPACTS, RISKS & OPPORTUNITIES

University of Massachusetts Dartmouth is part of the five-campus statewide system of the University of Massachusetts. The stated mission of the University of Massachusetts system is to provide an affordable and accessible education of high quality and to conduct programs of research and public service that advance knowledge and improve the lives of the people of the Commonwealth, the nation, and the world.

Besides its main campus in North Dartmouth, UMass Dartmouth offers a variety of programs in New Bedford, Fall River, Fairhaven, Cape Cod and online. The main campus of UMass Dartmouth, as well as all additional locations, are engaging in fostering sustainability and are in the process of being modernized with energy efficiency in mind and with an eye towards sustainability.

Efforts are being directed at becoming more efficient in terms of environmental impact per student, specially targeting energy consumption and water usage. Over \$70 million in energy and lighting costs will be saved because of a \$35 million investment in improving heating, lighting and other infrastructure. While recycling and waste management have already improved, the food supply chain remains the single greatest opportunity to reduce greenhouse gases.

UMass Dartmouth generates societal benefits through the volunteerism of students, staff and faculty. It offers accessible and affordable courses of education to those who may not otherwise be able to obtain higher education. The University is also improving gender equity; the average compensation of all female staff and faculty is coming into alignment with that of male staff and faculty.

The University's economic impacts include the creation of 1,329 jobs and the generation of \$356 million in economic activity. The University has opportunities to be an even more engaged partner with the business community.

As the Association of University Leaders for a Sustainable Future has written, "Sustainability implies that the major activities on campus are ecologically sound, socially just, economically viable and humane, and that they will continue to be so for future generations." In April 2007 the University of Massachusetts Dartmouth Sustainability Committee was chartered. Its primary goals are to establish academic programs that advance knowledge of sustainability, increase grant funding and research opportunities awarded to the University, and forge partnerships to become a regional center for conversations and events spotlighting sustainable practices and technologies.

In 2007, former Chancellor MacCormack joined the American College & University Presidents' Climate Commitment (ACUPCC). The ACUPCC is a project of the Association for the Advancement of Sustainability in Higher Education (AASHE), EcoAmerica, and Second Nature. It provides a framework to help colleges and universities develop plans for reducing greenhouse gas emissions that contribute to global climate change, with the objective of making their campuses "climate neutral." Kathleen Schatzberg, President of Cape Cod Community College (closely affiliated with UMass Dartmouth), was one of the twelve founding signatories of the ACUPCC.

There is foreseeable growth in demand for research, new technologies, and creative thinking, all of which are required to adapt systems to provide for today without compromising the future.

Sustainability efforts in these areas present opportunities for UMass Dartmouth. In as much as all institutions have a responsibility to act immediately, UMass Dartmouth is dedicating resources to related initiatives to reduce its environmental footprint.

In fiscal year 2011 UMass Dartmouth generated total revenues of approximately \$215,667,000, deriving from state support, tuition and fees, auxiliary and other sources, while total expenditures amounted to approximately \$209,167,000. A detailed financial report of the University of Massachusetts is at: <http://www.massachusetts.edu/controller/finrpt.html>.

In addition to the opportunities implied by the section above, factors contributing to the success of the University include its top rankings in the Times of London and U.S. News and World Report, growing partnerships with local businesses and communities, a culture that understands working with fiscal constraints, improved contacts with Congressional delegations and with state government, increased availability of data critical to expanded retention efforts (of faculty and students), and the region's need for a qualified workforce.

UMass Dartmouth is confronted with a number of financial risks, such as diminishing state financial support, increased student fees, and inflationary pressures. Furthermore, the University must be prepared to cope with potential challenges related to the availability of qualified faculty and staff, competition from other universities in the area, external perception of student quality, public safety, and rapid technological and structural changes. A long-term strategic review is underway to prioritize objectives. UMass Dartmouth is determined to effectively respond to existing threats and seize arising opportunities to its advantage.

Statement from Susan Jennings of the Office of Campus and Community Sustainability

The Office of Campus and Community Sustainability at UMass Dartmouth is proud to support this student-driven sustainability report. Professor Sulkowski's Corporate and Social Responsibility class exemplifies the kind of cross-disciplinary collaboration that is a hallmark of sustainability at UMass Dartmouth. From ground-breaking research in such areas as wetlands reconstruction, ocean warming, environmental policy, and sustainable transportation through multi-disciplinary academic programs in Sustainability and Sustainable Development, UMass Dartmouth faculty and students continue to build bridges to a more sustainable future.

Our recent inclusion in the Princeton Review of Green Schools caps off our recent achievements, including our:

- Living Classroom that brings students and other community members out into our 400 acres of campus gardens and forest for research, reflection, and service;
- Commitment to the Southeastern Massachusetts Council on Sustainability, including our contributions to their Sustainable Economy Project;
- Our vibrant undergraduate Green Navigator program that brings students into campus operations to support energy conservation, local food purchase, and other sustainable endeavors.

We hope that you enjoy this report, which is both a snapshot of where we are and indicative of where we would like to be.

ORGANIZATIONAL PROFILE

2.1 NAME OF ORGANIZATION

University of Massachusetts Dartmouth

2.2 PRIMARY BRANDS, PRODUCTS AND/OR SERVICES

UMass Dartmouth has its own brand identity and is enjoying the internationally recognized broad of the entire UMass systems. The University of Massachusetts was rate as one of the best universities in the world in the 2011-2012 World University Rankings by the Times of London newspaper - the only public University in New England to make the prestigious list. The five-campus UMass ranked 64th in the Times of London's Top 400, which the newspaper describes as "the gold standard for world-class research institutions."

Primary products include educational services to students, scholarly and applied research, and services to the local business community. For the purpose of this report, we also define products to include the 1,777 students that graduate each year. There are currently 47,973 UMass Dartmouth alumni.

PRIMARY EDUCATIONAL SERVICES

- 59 undergraduate field of study (degree programs plus the options within them)
- 50 undergraduate major fields of study
- 55 undergraduate minor fields of study
- 33 Certificate programs
- 27 Master's programs
- 11 Doctoral programs
- Non-degree special student option

SUSTAINABILITY STUDIES

Sustainability is woven through UMass Dartmouth's curriculum. Students can engage in various ways, including the sustainability minor and certificate programs. Many departments, including Mechanical Engineering, Environmental Policy Studies, and the MBA program, have - or will soon offer - environmental/sustainability tracks or certificates.

ACCREDITATIONS/HONORS

- New England Association of Schools and Colleges
- Association to Advance Collegiate Schools of Business
- Accreditation Board for Engineering and Technology
- National League for Nursing Accreditation Commission
- National Association of Schools of Art and Design
- Massachusetts Department of Education classified as a Community Engagement
- Classified as a Community Engagement Campus by the Carnegie Foundation and Honored by the Corporation for National & Community Service: President's Higher Education Community Service Honor Roll

2.3 OPERATIONAL STRUCTURE

Main Divisions

- College of Arts and Sciences
- Charlton College of Business
- College of Engineering
- College of Nursing
- College of Visual and Performing Arts
- School for Marine Science and Technology
- School of Law
- School of Education, Public Policy and Civic Engagement
- Professional and Continuing Education

OTHER LOCATIONS

- Advanced Technology & Manufacturing Center (Fall River)
- School for Marine Science and Technology (Clarks Cove, New Bedford)
- Star School Arts Campus (Downtown New Bedford)
- Professional and continuing education class (New Bedford, Fall River, Fairhaven, Cape Cod Community College and through UMass Online)

2.4 LOCATION OF ORGANIZATION'S HEADQUARTERS

North Dartmouth, Massachusetts, USA

2.5 COUNTRIES OF OPERATION

Physically located in the United States, UMass Dartmouth's faculty and students engage in research, teaching and learning around the world and online. Regarding International Relations at University of Massachusetts, the University focuses on:

- Developing and integrating study-abroad opportunities into the curriculum;
- Programs that bring faculty, visiting scholars and students from other countries to UMass Dartmouth;
- Promoting and encouraging faculty to engage in research, teaching and service around the world.

STUDY ABROAD APPROVED PROGRAM LIST AT UMASS DARTMOUTH

UMass Dartmouth Programs Led by UMass Dartmouth Faculty

- Australia Fall Session
- Canada Fall Session
- France Fall Session
- Ireland Fall Session
- Japan Fall session
- South Korea Fall Session
- United Kingdom Fall Session
- England Spring Session
- Germany Spring Session
- Greece Spring Session
- Italy Spring Session
- Peru Spring Session
- Spain Spring Session

- China Summer Session
- Costa Rica Summer Session
- India Summer Session

EXCHANGE PROGRAMS

Open to all fields of study (countries in which we have partnership agreements; tuition paid here)

- Hessen Exchange Program (Germany)
- Atlantic International Mobility Program on Atlantic Citizenship Trends (IMPACT) (Germany and Portugal)
- Quebec Universities (Canada)
- Nova Scotia Universities (Canada)
- Universite Pierre Mendes (France)
- University of Messina (Italy)

THIRD PARTY PROVIDERS

- American Institute for Foreign Study (AIFS) - Africa, Americas, Asia, Europe
- Academic Programs International (API) - Americas, Europe, Middle East
- AustraLearn / GlobaLinks Learning Abroad - Australia, New Zealand, South Pacific, Asia
- Coexistence in the Middle East - Israel
- Council on International Educational Exchange (CIEE) - Africa, Americas, Asia, Australia, Europe, Middle East
- CIS Abroad - Africa, Americas, Asia, Europe
- International Studies Abroad (ISA) - Africa, Americas, Asia, Europe
- School for International Training (SIT) - Africa, Americas, Asia, Europe
- SEA Semester - multiple countries
- Studio Art Centers International (SACI) - Florence, Italy (Visual Arts only)

2.6 NATURE OF OWNERSHIP AND LEGAL FORM

UMass Dartmouth is a public university.

2.7 MARKETS SERVED

Though many students are Massachusetts's residents, the University attracts students and faculty from around the world.

2.8 SCALE OF REPORTING ORGANIZATION (as of FY 2012)

Total revenue: \$221,426,000

- \$58,365,000 state support
- \$72,532,000 tuition & fees
- \$36,873,000 grants
- \$53,656,000 auxiliary & others

CURRENT STUDENT DEMOGRAPHICS

- 9,225 undergraduate, graduate and continuing education students
- 7,580 undergraduate students
- 1,645 graduate students
- 49% female

- 51% male
- 21.7% students of color
- 4,263 live on campus

REGIONAL ECONOMIC IMPACT

- \$326 million annual
- 1,329 jobs created
- 1,509 University employees

2.9 SIGNIFICANT CHANGES DURING REPORT PERIOD

There have not been significant changes that altered the size, structure or ownership of the University.

2.10 AWARDS RECEIVED IN THE REPORTING PERIOD

The Center for Civic Engagement at UMass Dartmouth received the Service Learning Leader Award from the Massachusetts Department of Elementary and Secondary Education on May 4th 2010. With this award UMass Dartmouth was recognized for expansion of Service Learning in the region and LEADS program in Fall River and New Bedford public school systems.

REPORTING PARAMETERS

3.1 REPORTING PERIOD

Fiscal Years 2011 and 2012, completed Fall 2012.

3.2 DATE OF MOST RECENT REPORT

Fiscal Year 2012, completed fall 2012 and published January 2013.

3.3 REPORTING CYCLE

Reporting is completed on an annual basis.

3.4 CONTACT FOR INFORMATION

Questions can be directed to the UMass Dartmouth Net Impact Chapter at NetImpactUMD@gmail.com regarding report or its contents.

REPORT SCOPE AND BOUNDARY

3.5 PROCESS FOR DEFINING REPORT CONTENT

In order to determine priorities, stakeholders, and the scope of this report, all involved persons did extensive research, consulted the GRI guidelines, and participated in regular discussion meetings.

3.6 BOUNDARY OF THE REPORT

This report is bound by the reach of all stakeholders involved with the University.

3.7 LIMITATIONS ON THE SCOPE OF THE REPORT

This report is limited by the availability of data from employees and offices of the University.

3.8 BASIS FOR REPORTING

There are no such operations that have affected the comparability of reports from period to period regarding on joint ventures, subsidiaries, leased facilities, outsourced operations, and other entities that can significantly affect comparability from period to period and/or between organizations.

3.9 DATA MEASUREMENT AND CALCULATIONS

Data was collected from individuals and offices around the University.

3.10 EXPLANATION OF THE EFFECT OF RESTATEMENTS REPORTED IN EARLIER REPORTS

There are no restatements of information from previous reports.

3.11 SIGNIFICANT CHANGES FROM PREVIOUS REPORTING PERIODS

There have been no significant changes in the scope, boundary or measurement methods applied to this report.

GRI CONTENT INDEX

3.12 TABLE IDENTIFYING THE STANDARD DISCLOSURES IN THE REPORT

Please see the separate file featuring the table of contents for all of the sections of this report as well as a cross-reference table to both GRI and STARS standard disclosure indicators.

3.13 POLICY AND CURRENT PRACTICE WITH REGARD TO SEEKING EXTERNAL ASSURANCE FOR THE REPORT

This report was compiled by students who enrolled at UMass Dartmouth using data supplied from the University, but it has not been audited by the University. The Net Impact student group arranged for independent third party verification of the sources by a professionally qualified accountant. That third party report confirming that the sources were checked is at the back of this section.

GOVERNANCE AND COMMITMENTS

4.1 GOVERNANCE STRUCTURE OF THE ORGANIZATION

The highest level of authority over the University of Massachusetts system is the Board of Trustees. The size of the Board has fluctuated between twelve and twenty-four members. The current Board is composed of nineteen voting members and three ex officio non-voting members. The Governor of the Commonwealth appoints seventeen Board members; at least five of those appointed must be alumni of the University and one must be a representative of organized labor. The other two voting members are students. In total, the board has five student members, elected for one-year terms, from the Amherst, Boston, Dartmouth, Lowell and Worcester campuses. Voting membership rotates among the campuses: two students are voting members and three others are ex officio non-voting members.

The Trustees are given broad responsibility to assure that the University funds are spent properly, that the University exercises appropriate stewardship of the University assets, and that operating results are positive. Most day-to-day responsibility to achieve these goals is delegated to the President and staff or to the Chancellors of the campuses through the President. The Board

provides broad oversight on academic policy and student affairs. The Board votes on tenure and personnel matters for faculty, creates and revises academic and student policies, makes recommendations on new academic programs, and considers financial aid, public service activities, technology transfer issues, and admission requirements.

The Board receives periodic updates on academic restructuring and reallocation efforts, enrollment and admissions data, and cross-campus collaborations. The Board authorizes the President, and through the President, the Chancellors, to manage the operations of the campuses.

4.2 IS THE CHAIR OF THE BOARD OF TRUSTEES ALSO AN EXECUTIVE OFFICER?

The Chair of the Board of Trustees is not an Executive Officer.

4.3 NUMBER OF MEMBERS OF THE BOARD OF DIRECTORS THAT ARE INDEPENDENT AND/OR NON-EXECUTIVE MEMBERS

The University has no Board of Directors other than the aforementioned Board of Trustees, so there is no chance of overlap between a traditional Director and Officer roles. As stated before, all but the student appointments come directly from the Governor of Massachusetts.

4.4 MECHANISMS FOR SHAREHOLDERS AND EMPLOYEES TO PROVIDE RECOMMENDATIONS ON DIRECTION TO THE HIGHEST GOVERNANCE BODY

The Board of Trustees has regular and special meetings that are generally open to the public, with the exception of special closed-door executive sessions. When stakeholders and employees wish to be heard at these meetings the Board requests their correspondence in writing ahead of time. Meeting minutes can be read at: <http://www.massachusetts.edu/bot/minutesshort.html>.

4.5 LINKAGE BETWEEN COMPENSATION FOR THE MEMBERS OF THE HIGHEST GOVERNANCE BODY, SENIOR MANAGERS, AND EXECUTIVES (INCLUDING DEPARTURE ARRANGEMENTS), AND THE ORGANIZATION'S PERFORMANCE (INCLUDING SOCIAL AND ENVIRONMENTAL PERFORMANCE)

The Board of Trustees establishes the terms and conditions, including salary, of the contract with the President. In making such determination, the Board gives due consideration to any recommendation from the Compensation Committee. The President, in consultation with the individual members of the Compensation Committee, establishes the terms and conditions, including salary, of the contract with each Chancellor. The Chair of the Board in consultation with the Chair of the Compensation Committee and the members of the Board conduct a review of the President on an annual basis.

4.6 PROCESSES IN PLACE FOR THE HIGHEST GOVERNANCE BODY TO ENSURE CONFLICTS OF INTEREST ARE AVOIDED

The Board of Trustees officially resolved to disclose any duality of interests for review by the President. These policies and rulings complement the jurisdiction of the State Ethics Commission.

4.7 PROCESS FOR DETERMINING THE EXPERTISE OF THE MEMBERS OF THE HIGHEST GOVERNANCE BODY FOR GUIDING THE ORGANIZATION'S STRATEGY ON ECONOMIC, ENVIRONMENTAL, AND SOCIAL TOPICS

The Governor of Massachusetts appoints each non-student member. Students on the Board of Trustees are elected on each campus. Terms are for one year and are uncompensated.

4.8 INTERNALLY DEVELOPED STATEMENTS OF MISSION, CODES OF CONDUCT, AND PRINCIPLES RELEVANT TO ECONOMIC, ENVIRONMENTAL, AND SOCIAL PERFORMANCE AND THE STATUS OF THEIR IMPLEMENTATION

Codes of conducts exist for the Board of Trustees and for students. All students are responsible for knowing their rights and responsibilities. The UMass Dartmouth mission statement states: "The University of Massachusetts Dartmouth distinguishes itself a vibrant public University actively engaged in personalized teaching and innovative research, and acting as an intellectual catalyst for regional and global economic, social, and cultural development."

4.9 PROCEDURES OF THE HIGHEST GOVERNANCE BODY FOR OVERSEEING THE ORGANIZATION'S MANAGEMENT OF ECONOMIC, ENVIRONMENTAL, AND SOCIAL PERFORMANCE AND THE STATUS OF THEIR IMPLEMENTATION

The Committee of Finance and Administration within the Board of Trustees evaluates the organization's economic, environmental and social direction. The committee is also charged with reviewing annual state budget requests, producing annual financial statements, managing tuition and fees, managing property acquisition and control, maintaining affirmative action policies, and other personnel matters.

4.10 PROCESS FOR EVALUATING THE HIGHEST GOVERNANCE BODY'S OWN PERFORMANCE, PARTICULARLY WITH RESPECT TO ECONOMIC, ENVIRONMENTAL, AND SOCIAL PERFORMANCE

In addition to informal discussions between members of the Board of Trustees and the President, and between the President and campus chancellors, a structured review and evaluation of the President and chancellors takes place approximately every five years. The structured review provides the Board of Trustees with an assessment of how effectively these administrators are guiding the University in such areas as academics, financial performance, student affairs, personnel management and community relations. The review process provides an opportunity for review of institutional and campus goals and priorities.

4.11 EXPLANATION OF HOW THE PRECAUTIONARY APPROACH OR PRINCIPLE IS ADDRESSED BY THE ORGANIZATION

The University engages in stakeholder consultation before major changes in curriculum or infrastructure. Changes in curriculum are published on the website at: <http://www.umassd.edu/provost/facultyhandbook/curriculumchanges>.

4.12 EXTERNALLY DEVELOPED ECONOMIC, ENVIRONMENTAL, AND SOCIAL CHARTERS, PRINCIPLES, OR OTHER INITIATIVES TO WHICH THE ORGANIZATION SUBSCRIBES OR ENDORSES

See 4.13, as the organizations listed there espouse such principles.

4.13 UNIVERSITY OF MASSACHUSETTS DARTMOUTH IS A MEMBER OF MANY SCHOLASTIC AND SUSTAINABLE ORGANIZATIONS

Such as:

- Regional Sustainability Council (SEMCOS)
- Massachusetts Department of Education
- New England Association of Schools & Colleges (NEASC)
- National Association of Schools of Art & Design
- The Accreditation Board for Engineering & Technology
- National League for Nursing Accreditation Commission
- The American College & University Presidents Climate Commitment Association
- Advancement Academic Collegiate Schools of Business (AACSB)
- The Southeastern Regional Planning and Economic Development Department (SRPEDD)
- Connect Partnership
- American College & University Presidents' Climate Commitment (ACUPCC)
- Association for Advancement Sustainability in Higher Education (AASHE)